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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.	503.39455VX1
		First Inventor	Masaya ADACHI et al.
		Title	<b>LIGHT DISTRIBUTION CONTROL DEVICE AND DISPLAY APPARATUS PROVIDED WITH THE SAME</b>
		Express Mail Label No.	
<b>APPLICATION ELEMENTS</b> <i>SEE MPEP chapter 600 concerning patent application contents.</i>		<b>ADDRESS</b>	Mail Stop Patent Application Commissioner for Patents POB 1450 Alexandria, Virginia 22313-1450

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. <i>See 37 CFR 1.27.</i>	8. Nucleotide and/or Amino Acid Sequence Submission <i>if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification <i>[Total Pages: 99]</i> -Descriptive title of the invention (or title page) -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure	a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s)(35 U.S.C. 113) <i>[Total Pages-27: Figs 1-44]</i>	9. <input type="checkbox"/> Assignment(s)/Recordation Cover Form(s) PTO-1595
5. Oath or Declaration <i>[Total Pages: 04]</i> a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i>	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee) (In Declarations)</i>
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <i>Signed statement attached deleting inventor(s)            Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</i>	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
ii. <input type="checkbox"/> <b>FILED WITHOUT DECLARATION</b>	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies/IDS Refs.
6. <input type="checkbox"/> Application Data Sheet (See 37 CFR §1.76)	13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: Form PTO-2038 (Fee Code 1001)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Division  Continuation-in-part (CIP) of prior application No.: 09/743,495 filed 10 January 2001  
*Prior application information: Examiner: M. Cruz Group Art Unit: 2851*

**CONTINUATION/DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

<b>19. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer No./Bar Code Label <i>(Insert Customer No/Attach bar code label here)</i>		020457		or <input checked="" type="checkbox"/> Correspondence address below	
Name		ANTONELLI, TERRY, STOUT & KRAUS, LLP			
Address		1300 North Seventeenth Street			
		Suite 1800			
City		Arlington	State	VA	Zip Code
Country		USA	Telephone	703-312-6600	Fax
Name		Paul J. SKWIERAWSKI		Registration No. (Attorney/Agent)	
Signature				Date	
Signature				18 August 2003	

10/642225  
08/18/03

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$ 750.00)

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge DEFICIENCIES indicated to:  
Deposit Account Number 01-2135  
Deposit Account Name ATS&K  
 Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
 Applicant Claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEES CALCULATION

### 2. BASIC FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001	750	201	370 Utility filing fee	
106	330	206	165 Design filing fee	
107	510	207	255 Plant filing fee	
108	740	208	370 Reissue filing fee	
114	160	214	80 Provisional filing fee	

**SUBTOTAL (1)**

\$750.00

### 1. EXTRA CLAIM FEES

Total Claims	10-20**	=	00	Fee from below	Fee Paid
				x\$18	= \$00

Indep. Claims	3-3**	=	00	x\$ 84	= \$00
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Multiple Dependent		=	
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Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2) \$00**

\*\*or number previously paid, if greater; For Reissues, see above.

## Complete if Known

Application Number	Unassigned (\$53b Div. of 09/743,495)
Filing Date	18 August 2003
First Named Inventor	Masaya ADACHI et al.
Examiner Name	Unassigned (Parent - M Crus)
Group Art Unit	Unassigned (Parent - 2851)

Attorney Docket No. 503.39455VX1

## FEES CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge – late filing fee or oath	
127	50	227	25 Surcharge – late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examination action	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	
115	110	215	55 Extension for reply within first month	
116	400	216	200 Extension for reply within second month	
117	920	217	460 Extension for reply within third month	
118	1,440	218	720 Extension for reply within fourth month	
128	1,960	228	980 Extension for reply within fifth month	
119	320	219	160 Notice of Appeal	
120	320	220	160 Filing a brief in support of an appeal	
121	280	221	140 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive – unavoidable	
141	1,280	241	640 Petition to revive – unintentional	
142	1,280	242	640 Utility issue fee (or reissue)	
143	460	243	230 Design issue fee	
144	620	244	310 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Processing fee under 37 CFR 1.17(q)	
126	180	126	180 Submission of Information Disclosure Stmt	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	740	246	370 For each additional invention to be examined (37 CFR § 1.129(a))	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370 Request for Continued Examination (RCE)	
169	900	169	900 Request for expedited examination of a design application	
Other fee (specify)				
*Reduced by Basic Filing Fee Paid				
<b>SUBTOTAL (3) (\$00</b>				

\*\*or number previously paid, if greater; For Reissues, see above.

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Paul J. SKWIERAWSKI	Registration No. (Attorney/Agent)	32,173	Telephone 703-312-6600
Signature				Date 18 August 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.